

# VANECK ETP AG

Issuer of VanEck Digital Assets ETNs Programme (the “Programme”)

## Redemption Order Form (the “Form”)

Please complete this Redemption Order Form in capital letters, date it and send it by email to:

[redemption\\_VEAG@vaneck.com](mailto:redemption_VEAG@vaneck.com)

and by post, together with all documents necessary according to this form to the following address:

VanEck ETP AG  
Digital Assets Operations Team  
Landstrasse 40  
Triesen  
Liechtenstein

This Form should be read in conjunction with the most recent sales prospectus of the VanEck Digital Assets ETN Programme (the “**Prospectus**”), the Final Terms and the key information document (“**KID**”) relating to the redeemable notes (individually a “**Note**” and collectively the “**Notes**”).

**Capitalized terms used herein without definition shall have the same meanings assigned to them in the Prospectus, unless otherwise stated.**

(It is suggested that the Noteholder who orders a Redemption completes this Form in duplicate, retaining one copy for his/her own records.)

### Instructions

For a Redemption of a Note you must complete this Form and email and post it to the address above. For a legally binding Redemption the completed form must be sent by email and post. The original signed form must be sent by post together with the supporting documentation required for Anti-Money Laundering purposes as specified below in this form.

Failure and delay to provide the original Form along with the documentation required for Anti-Money Laundering purposes may result in a denial or a delay in the acceptance of a Redemption.

Non-Retail Accounts – If a legal entity and not a private person applies for the Redemption a certified copy of an authorised signatory list must be provided to the Issuer at the time of Redemption for the investing entity in whose name the Redemption Order Form is completed. The Form must be signed in accordance with the signing mandate outlined in the authorised signatory list.

After the Issuer has received and reviewed the completed Redemption Form together with the documentation required for Anti-Money Laundering purposes it will – if the Redemption Form is in good order and complete - send an email to you and your Financial Intermediary. This email will provide the necessary information about the Redemption fee, bank account and securities account of the Issuer where the Redemption Fee and Notes must be delivered to in order to start the Redemption process. Further information about the Redemption process is available in the prospectus and Final Terms.

After the Issuer has received the Redemption Fee and the Notes it will deliver the crypto assets to the account of the Noteholder/s and send an email confirmation to the Noteholder/s.

# 1 Redemption Counterparty Details

## 1.1 INDIVIDUAL NOTEHOLDER

### 1.1.1 1st Noteholder

Title								
Last name/Family name								
First name(s)/Given name(s)								
Gender	<input type="checkbox"/> M				<input type="checkbox"/> F			
Date of birth	d	d	m	m	y	y	y	y
Place of birth (city & country)								
Nationality/nationalities								
Professional activity <i>(Please specify position and domain of activity /employer, if retired please specify previous position and domain of activity/employer)</i>								
If you hold or have held any political or public function, or if you are an immediate relative of a person that holds or has held any political or public function, please tick this box <input type="checkbox"/>								
Source of funds invested <input type="checkbox"/> Inheritance <input type="checkbox"/> Gift <input type="checkbox"/> Sale of Business <input type="checkbox"/> Savings <input type="checkbox"/> Pension <input type="checkbox"/> Investment income <input type="checkbox"/> Sale of assets (please specify) <input type="checkbox"/> Other (please specify) <i>Further supporting documentation may be requested if deemed necessary to verify the above information.</i>								
Tax ID number (if available)								
Passport/National ID number								
Country of residence for tax purposes								
Address, city & country (no PO Box allowed)								
Telephone								
Fax								
Email								

### 1.1.2 INDIVIDUAL NOTEHOLDER – 2nd Noteholder (if additional person holds the same Note)

Title								
Last name/Family name								
First name(s)/Given name(s)								
Gender	<input type="checkbox"/> M				<input type="checkbox"/> F			
Date of birth	d	d	m	m	y	y	y	y
Place of birth (city & country)								
Nationality/nationalities								
Professional activity <i>(Please specify position and domain of activity /employer, if retired please specify previous position and domain of activity/employer)</i>								
If you hold or have held any political or public function, or if you are an immediate relative of a person that holds or has held any political or public function, please tick this box <input type="checkbox"/>								
Source of funds invested <input type="checkbox"/> Inheritance <input type="checkbox"/> Gift <input type="checkbox"/> Sale of Business <input type="checkbox"/> Savings <input type="checkbox"/> Pension <input type="checkbox"/> Investment income <input type="checkbox"/> Sale of assets (please specify) <input type="checkbox"/> Other (please specify) <i>Further supporting documentation may be requested if deemed necessary to verify the above information.</i>								
Tax ID number (if available)								
Passport/National ID number								
Country of residence for tax purposes								
Address, city & country (no PO Box allowed)								
Telephone								
Fax								
Email								

For additional Noteholder(s), please attach a copy of this table with the details.

1.2 CORPORATE NOTEHOLDER

1.2.1 Name and Status

Full Company Name	
Designation (if any)	
Date of Incorporation	
Type of Company <input type="checkbox"/> Corporation <input type="checkbox"/> Insurance <input type="checkbox"/> Broker <input type="checkbox"/> Financial Institution <input type="checkbox"/> Other (please specify)	
Regulated in a country with requirements of anti-money laundering and counter-terrorism financing (AML-CTF) regulations equivalent to those in force within Liechtenstein. <input type="checkbox"/> no <input type="checkbox"/> yes, by Listed on a recognized stock exchange (which country applies AML-CTF regulations equivalent to those in force in Liechtenstein) <input type="checkbox"/> no <input type="checkbox"/> yes, by	
Tax identification number (if applicable)	
RCS number (if applicable)	
Country of residence for tax purposes (if applicable)	
Intermediary / Underlying client	

1.2.2 Corporate Noteholder - Registered Address

Address (no PO Box allowed)	
Telephone	
Fax	
Email	
Internet site	

1.2.3 Corporate Noteholder - Mailing Address & Contact

Address	
<b>Contact person for daily business</b>	
Title	
Name	
Telephone	
Fax	
Email	

I/We confirm that the information set out here above comprises our complete address as well as contact details information references for the purpose of processing the Redemption. I/We confirm that the Issuer may treat such information as accurate and up to date until notice to the contrary shall have been received in writing by the Issuer from us.

## 2 Who completed this Redemption Order Form

Please cross one of the following boxes to confirm whether you order the Redemption for your own account or on behalf of another person.

On my/our own behalf	<input type="checkbox"/>
On behalf of a third party	<input type="checkbox"/>

### **IMPORTANT NOTE IF YOU REDEEM ON BEHALF OF A THIRD PARTY\***

***In order to make a Redemption on behalf, for the account and in the name of a third party you must provide a Power of Attorney or other document as that evidences your power to act on behalf of the Noteholder.***

## 3 Confirmation from the financial intermediary where you hold the Notes

**(Please have your financial intermediary where you hold the Notes complete and sign the below section.)**

This confirmation can only be completed by intermediaries regulated for anti-money laundering purposes by the appropriate regulator in one of the following countries: Australia, Austria, Belgium, Canada, Denmark, Finland, France, Germany, Guernsey, Hong Kong, Iceland, Ireland, Italy, Jersey, Luxembourg, Isle of Man, Netherlands, Norway, Portugal, Singapore, Spain, Sweden, Switzerland, United Kingdom, United States (please note this list is subject to change).

**Description of Noteholder**

**Natural Person**

**Corporate Noteholder**

**Relationship of Financial Intermediary to Noteholder**

**Custodian/Depository Bank**

**Broker/Advisor/Investment Manager**

Name of Financial Intermediary \_\_\_\_\_

Name and Country of Regulator \_\_\_\_\_

Address of Financial Intermediary \_\_\_\_\_

Email Address of Financial Intermediary \_\_\_\_\_

**We confirm that we are a financial intermediary regulated for anti-money laundering purposes by the aforementioned regulator and hereby confirm the following in connection to the Noteholder:**

- 1. We have performed the anti-money laundering and counter-terrorist financing identification for any parties on whose behalf the Noteholder is purchasing Notes.**
- 2. The evidence we have obtained to verify the identity of the underlying Noteholder, and where appropriate, their beneficial shareholders, meets the requirements of our national anti-money laundering and counter-terrorist financing legislation and regulations.**
- 3. We confirm that all documents and information, which we may have on our files relating to the identity of each underlying Noteholder will be sent to a competent authority as soon as practicable upon the competent authority's request.**
- 4. We confirm that all documents and information, which we may have on our files relating to the identity of each underlying Noteholder will be sent to the Issuer as soon as practicable upon its request, subject to applicable rules and regulations.**

5. We will retain these documents and information for a period of at least 5 years after the relationship with a Noteholder has ended.

6. We will take measures to ensure that the underlying Noteholder are neither individuals nor institutions against whom sanctions have been imposed by the EU or United Nations or persons or entities that are included on the List of Specially Designated Nationals and Blocked Persons maintained by the U.S. Treasury's Department's Office of Foreign Asset Control ("OFAC").

7. In order to act as intermediary we will send together with this Form and related documentation for the Noteholder the following documents:

- an original self-certified authorized signatories list with signature specimen stating who will operate the account, current and dated on company letterhead, not more than one year old; and
- a proof of regulation by a competent national supervisory authority.

Date and Place: \_\_\_\_\_

\_\_\_\_\_  
Authorised Signatory of Financial Intermediary

Name and Title: \_\_\_\_\_

This should be signed by one or more authorised members of the Compliance Department or Legal Department (please state position).

#### 4 INVESTMENT DETAILS

Please enter the details regarding your Notes for Redemption applied for in this form.

Note	ISIN	Number of Shares	Custodian	Account Details
VANECK ___ ETN				

Should you have any questions, please contact VanEck ETP AG, Landstrasse 40, Triesen Lichtenstein.

Telephone: + \_\_\_\_\_

Email: [redemption\\_VEAG@vaneck.com](mailto:redemption_VEAG@vaneck.com)

## 5 Delivery of Redemption Proceeds

(Please indicate where you wish to receive the Crypto Assets for your Redemption)

The Noteholder must have a wallet at one of the following approved crypto custodians in order to receive the Redemption Proceeds from this Redemption:

- Coinbase
- Kraken
- Gemini
- Bitstamp

(the “Crypto Custodian/s”)

The Redemption Proceeds will be send using the chain/network address specified in the following table:

Token	Name	Token type	Network*	Chain*
ADA	Cardano	Native coin	Cardano	Mainnet
ALGO	Algorand	Native coin	Algorand	Mainnet
AVAX	Avalanche	Native coin	Avalanche	Primary Network
BTC	Bitcoin	Native coin	Bitcoin	Mainnet
DOT	Polkadot	Native coin	Polkadot	Mainnet
ETH	Ethereum	Native coin	Ethereum	Mainnet
LTC	Litecoin	Native coin	Litecoin	Mainnet
FTT	FTX-Token	ERC20	Ethereum	Mainnet
MATIC	Polygon	ERC20	Ethereum	Mainnet
SOL	Solana	Native coin	Solana	Mainnet
TRX	TRON	Native coin	TRON	Mainnet
LINK	Chainlink	ERC20	Ethereum	Mainnet

\* If you provide an address that is not on that specific network, i.e. using a Polygon address to receive MATIC minted on an Ethereum mainnet address will result in loss of funds.

Please note that all Crypto Assets for Redemptions “Redemption Proceeds” will be delivered in the name of the Noteholder only, no third-party delivery will be made. Any omission or inaccuracy in the completion of these details for Redemptions request could result in late or non-delivery of the Redemption Proceeds. In case of a discrepancy between any Redemption details mentioned in this Redemption Order Form and the wallet details stated here below, the Issuer reserves the right to suspend delivery of the Redemption Proceeds until the Noteholder provides any of them with evidence that the Noteholder is the beneficiary of the wallet.

<b>Noteholder Details</b>	See Section 1 above.
<b>Noteholder’s Crypto Custodian Name</b>	
<b>Noteholder’s Crypto Custodian Registered Address</b>	
<b>Noteholder’s Crypto Custodian Wallet Address (public key and memo if applicable)</b>	

**Please Note: The Issuer does not accept any responsibility nor liability for the wallet details quoted above. Any delivery of Crypto Assets made using these details will be at your risk. Amendments to Noteholders’ delivery instructions will only be applied upon receipt of an original instruction which has been duly authorised. In the case of multiple Noteholders and corresponding joint wallets, instructions will only be made upon receipt of instruction duly signed by all applicants.**

## 6 Noteholder’s Crypto Custodian Account Verification – from the Noteholder’s Crypto Custodian

The Issuer will assess if the wallet address belongs to the Noteholder/s and if it is ensured that Crypto Assets will only be send to a hosted wallet maintained with a regulated Crypto Custodian according to Section 5 of this Redemption Order Form.

According to the Sales Prospectus the Issuer will not accept a Redemption Wallet unless the wallet provides a reasonable standard of regulatory quality and anti-money laundering protection according to the Travel Rule. Accordingly, a Redemption Wallet must be a wallet at one of the regulated and supervised Crypto Custodians listed under Section 5 above. The Issuer will assess whether the Crypto Custodian where the Redemption Wallet is hosted provides this standard and will in due course inform the Noteholder accordingly if this should not be the case. This does also apply to the Crypto Custodians listed in Section 5 of this Redemption Form.

You must provide evidence that the Redemption Wallet meets these requirements and that the wallet address provided to us through the Redemption Order Form belongs to you. To evidence the characteristics of the Redemption Wallet and the fact that the wallet address belongs to you the Crypto Custodian Wallet Owner Confirmation attached to this Redemption Order Form as **Annex 2** must be completed by your Crypto Custodian and must be send to the Issuer.

## 7 Anti-Money Laundering – Know Your Customer Requirements

Under Liechtenstein legislation and supplemental Guidance covering anti-money laundering the Issuer is required to obtain the following documentation from you to verify the identity of all Noteholders who order a Redemption. You must provide this documentation to us with the Redemption Order Form. The documentation listed below may not cover all documents and the Issuer reserves the right to request additional documentation if required.

Should documents be provided in a language other than English, an English translation may be required.

Please note that the order may not be accepted until the Issuer is in receipt of all required anti-money laundering documentation, the original Redemption Order Form and the details for the settlement of the Redemption proceeds. In any event, Redemption proceeds will not be delivered to Noteholders until full anti-money laundering documentation has been received in good order and the Issuer reserves the right to take further action where full anti-money laundering documentation has not been received.

### **Documentation required from all Noteholders**

1. Personal Verification<sup>1</sup> - ONE official photographic document (Certified<sup>2</sup>)
2. Address Verification<sup>3</sup> - TWO different address verification documents (Original or Certified)

### **Documentation required from Noteholders who are regulated corporate Noteholders in certain Countries<sup>4</sup>**

1. Confirmation of Name and Address
2. Name of Regulatory Body
3. Proof of regulation by a competent national supervisory authority
4. Original Authorised Signatory List

### **Documentation required from all other Noteholders who are corporate Noteholders**

1. Confirmation of name, office address, principal business address and registered number
2. Name of Regulatory Body (if applicable)
3. Proof of regulation by a competent national supervisory authority (if applicable)
4. Certificate of Incorporation or Certificate to Trade (Certified)
5. Memorandum and Articles of Association (Certified)
6. Latest audited Financial Statements (Certified)
7. Nature and purpose of the entity
8. List of Directors to include full name, dates of birth, occupation, residential and business addresses
9. Original Authorised Signatory List
10. ONE personal verification and TWO address verification documents for at least TWO directors **OR** ONE director and ONE authorised signatory (original or certified)
11. Verify the identity of all beneficial owners beneficially entitled to more than 25% of the entity's share capital or voting rights or otherwise exercises control over the management of the entity (if no beneficial owner holds more than 25%, verification of this is required (e.g., share register))

### **Documentation which may be required for Pension Fund Accounts in certain Countries**

1. Confirmation of name and address
2. Pension Scheme Rules
3. Constitutional / Formation Document (e.g.: Trust Deed)
4. Confirmation of registration from the relevant tax authorities or Pensions Board
5. Verification of the principal employer (if applicable)
6. Name, address and dates of birth of the officers/board members/trustees/directors/governors or equivalent
7. Identity verification for TWO officers/board members/trustees/directors/governors or equivalent
8. Evidence that the person representing the Pension Scheme is empowered to act (Certified)
9. Original Authorised signatory list

### **Documentation which may be required for a Nominee Company**

1. Confirmation of Name and Address of Nominee Company
2. Original Authorised Signatory List for the Nominee Account
3. Confirmation of Name and Address of Parent of Nominee
4. Confirmation of Regulatory Body of Parent of Nominee
5. Original Authorised Signatory List of Parent
6. Proof of regulation (nominee / parent)
7. Original Letter of Assurance from Parent

### **Documentation which may be required for a Trust/Foundation/Charity**

1. Confirmation of name, registered & principal business address
2. Evidence of charitable status (if applicable)
3. Constitutional / Formation document (e.g.: Trust Deed or equivalent)
4. Nature and purpose

5. ONE Personal Verification and TWO Address Verification documents for at least TWO trustees/directors/governors/board members or ONE trustee/director/governor/board member and ONE authorised signatory (original / certified)
6. ONE Personal Verification and TWO Address Verification documents for any settler (where appropriate)
7. ONE Personal Verification and TWO Address Verification documents for all beneficiaries who own at least 25% of the capital
8. Original Authorised Signatory List

Please note that the Issuer can only accept Application Forms from an entity that has legal capacity to enter into contracts on its own right and may require the constitutive document to legitimate legal status.

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<sup>1</sup> Acceptable Personal Verification documents are a certified copy of a Passport or a Driver's License or National Identity Card. The certified documents must be in date, show a picture of the person, full name, date of birth and signature of the person.

<sup>2</sup> Verification documents must be *certified* by a suitable person/entity, such as the Companies Registration Office (or the equivalent in the Noteholders jurisdiction) with regard to incorporation documentation, a notary public, a police officer, an embassy/consular official, a chartered or certified public accountant, a practicing solicitor, any Designated Body. Documents should be stamped with the official stamp of the person, dated and signed by that person.

<sup>3</sup> Acceptable Address Verification documents are any TWO of the following: electricity bill, gas bill, water bill, telephone bill, cable television bill, bank statement or credit card statement, social insurance documents, household/motor insurance certificates. Documents must originate from a different source, show the full name and residential address of the applicant and must be dated within 6 months of submission.

<sup>4</sup> Australia, Austria, Belgium, Canada, Denmark, Finland, France, Germany, Guernsey, Hong Kong, Iceland, Ireland, Italy, Jersey, Luxembourg, Isle of Man, Netherlands, Norway, Portugal, Singapore, Spain, Sweden, Switzerland, United Kingdom, United States (please note this list is subject to change).



## 8 DECLARATION

By signing this Redemption Order Form: The undersigning declares that:

- he/she/they are over 18 years old and have full capacity to order the Redemption
- any Redemption shall be made or be deemed to be made in accordance with the then currently relevant documentation.
- he/she/they are the owner of Crypto Assets Custodian Wallet Address specified in Section 5.
- that the money or assets invested are neither directly nor indirectly the proceeds of any criminal act within the meaning of the applicable anti-money laundering and counter terrorist financing regulations in Liechtenstein.
- agree(s) to provide the necessary supporting identification documentation as requested by the Issuer.
- agree(s) to furnish the Issuer with any information, representations and forms as shall reasonably be requested by the Issuer to assist it in complying with any applicable law.
- the information contained in this Redemption Order Form is correct at the time of completion and I/we hereby undertake to promptly inform the Issuer of any change in my/our details contained herein.
- Where a Power of Attorney Form is required, a certified copy must be provided, and such Power of Attorney Form shall form an integral part of this Redemption Order Form.
- He/she is aware that information and all related documents provided for this Redemption may be shared with regulatory-, tax- and other authorities on request.

I/We have read and am/are familiar with the current Prospectus and Final Terms, the content of which I/we understand and accept.

I/we have not been furnished with and am/are not relying on any other offering literature relating to the Notes except the Prospectus, KID and the Final Terms and (where relevant) the latest annual report or semi-annual report.

I/We have been afforded the opportunity (A) to ask such questions as I/we have deemed necessary of, and to receive answers from, representatives of the Issuer concerning the Redemption of Notes, and (B) to obtain such additional information that the Issuer possesses or can acquire without unreasonable effort or expense that is necessary to verify the accuracy and completeness of the information contained in the Prospectus and Final Terms.

**(if Noteholder thereunder is not a natural person)**I/We also represent and warrant that: (i) I/we am/are duly organised, validly existing and in good standing under the laws of the jurisdiction in which I/we was/were formed; (ii) I/we have all requisite power and authority to redeem the Notes, as provided herein; and (iii) this Application Form has been duly executed and delivered on our behalf and constitutes our legal, valid and binding agreement enforceable against us in accordance with its terms, except as the enforcement thereof may be limited by bankruptcy, insolvency (including, without limitation, all laws relating to fraudulent transfers), reorganisation, moratorium or similar laws affecting enforcement of creditors' rights generally and except as enforcement thereof is subject to general principles of equity (regardless of whether enforcement is considered in a proceeding in equity or at law);

I/We confirm that all written information which I/we have provided to the Issuer contained in this Application Form is correct and complete as of the date hereof and may be relied upon. Should there be any material change in such information prior to or following acceptance of this Application Form, I/we will immediately provide the Issuer with notice of such change.

I/We confirm that the address listed herein is our official and principal or permanent address of residence or (if Noteholder thereunder is not a natural person) our registered and principal place of business. PO Boxes and c/o addresses are only allowed for correspondence purposes. Proof of official and permanent address of residence or official registered place of business registration can be requested.

In order to fully benefit from the Redemption services to be provided globally by the Issuer, VanEck ETP AG ("VEAG") or, as the case may be, by another entity of the VanEck's group entrusted with the provision of such services, I/we hereby expressly agree to the Issuer, to disclose any information that I/we have supplied on this Application Form as well as any information on my/our holdings in the Notes (which may include the following data: name, date of birth, home address, contact details, professional activity, nationality, telephone number, banking details, amount invested, holdings in the Notes), with immediate effect to other parties involved in the Redemption according to the Prospectus, respectively to any other entity of the VanEck's group that will be entrusted with the provision of services to the Issuer, the services of which I/we will benefit. I/We consider that these transfers of data occur in my/our interest as they will allow me/us to: (i) contact the Issuer or such other entity to obtain more swiftly information about the Redemption; and (ii) be able to follow more closely my/our Redemption. Consent in relation to such data transfers shall continue for as long as I/we hold Notes and the Redemption Process is ongoing, subject to legal retention periods.

I/we understand and am/are aware that:

This Redemption will be legally binding when it is signed, and the Notes delivered to the Issuer are received. Correspondence will be sent to our correspondence address noted above unless otherwise notified by us in writing from time to time.

If more than one Noteholder applies, all Noteholders must sign this application form.

## 9 PERSONAL DATA, PROCESSING AND DISCLOSING OF DATA

The following additional information only applies to natural persons:

VanEck is required to share your personal data as necessary to process the Redemption requested in this Redemption Application Form.

I/We hereby acknowledge that I/we have received and read the Privacy Policy attached to this Redemption Order Form as **Annex 1**.

Redemption

## 10 Politically Exposed Persons

Please complete either Section A or B below, with reference to the definitions below

A Politically Exposed Person ("PEP") is an individual who has at any time in the preceding 12 months been, entrusted with a prominent public function. This includes the following individuals (but excluding any middle ranking or more junior official):

- a. a "specified official";
- b. a member of the administrative, management or supervisory body of a state-owned enterprise.

A "specified official" is (including any such officials in an institution of the European Communities or an international body):

- a. a head of state, head of government, government minister or deputy or assistant government minister;
- b. a member of a parliament or of similar legislative bodies;
- c. a member of a supreme court, constitutional court or other high level judicial body whose decisions, other than in exceptional circumstances, are not subject to further appeal;
- d. a member of a court of auditors or of the board of a central bank;
- e. an ambassador, chargé d'affaires or high-ranking officer in the armed forces;
- f. a member of the administrative, management or supervisory body of a state-owned enterprise;
- g. a director, deputy director and member of the board or equivalent function of an international organisation.

An immediate family member of a PEP includes any of the following persons:

- a. the spouse, or a person considered to be equivalent to a spouse, of a politically exposed person;
- b. the children and their spouses, or persons considered to be equivalent to a spouse, of a politically exposed person;
- c. the parents of a politically exposed person.

A close associate of a PEP includes any of the following persons

- a. any individual who has joint beneficial ownership of a legal entity or legal arrangement, or any other close business relations, with the PEP;
- b. any individual who has sole beneficial ownership of a legal entity or legal arrangement set up for the actual benefit of the PEP.

As an example, a "beneficial owner" of a body corporate is any individual who (other than a company having securities listed on a regulated market):

- a. ultimately owns or controls, whether through direct or indirect ownership or control (including through bearer shareholdings), more than 25 per cent of the shares or voting rights of the body; or
- b. otherwise exercises control over the management of the body.

### SECTION A: TO BE COMPLETED ONLY IF THE PEP RULES APPLY WITH REFERENCE TO THE ABOVE DEFINITIONS

the application is being made by a PEP / immediate family member of a PEP / close associate of a PEP OR

the applicant has a beneficial owner who is a PEP / immediate family member of a PEP / close associate of a PEP OR

the application is being made for the benefit of a PEP / immediate family member of a PEP / close associate of a PEP OR

it is intended to transfer the Notes to a PEP / immediate family member of a PEP / close associate of a PEP

Name of PEP		Address of PEP	
Office of PEP		Relationship of Applicant or Applicant's Beneficial Owner to PEP	
Source of Wealth of the PEP (e.g., Income from employment, Income from company business, inheritance, etc.)			

SECTION B: PLEASE TICK BOX BELOW IF THE PEP RULES DO NOT APPLY WITH REFERENCE TO THE DEFINITIONS ABOVE:

I/we confirm that the application is NOT being made by a PEP / immediate family member of a PEP / close associate of a PEP AND the applicant does not have a beneficial owner who is a PEP / immediate family member of a PEP / close associate of a PEP AND the application is NOT being made for the benefit of a PEP / immediate family member of a PEP / close associate of a PEP AND it is NOT intended to transfer the Notes to a PEP / immediate family member of a PEP / close associate of a PEP

## 11 SIGNATURES

I/We declare being duly authorized to sign the present Application Form and that I/we have received a copy of the Prospectus and Final Terms (and where relevant a current Annual or Semi-Annual Report) which I/we accept in full.

I/We further declare that all information contained in this application form is accurate to the best of my/our knowledge and belief.

This Application Form shall be governed by and construed in accordance with the laws of Germany. The Applicant hereby irrevocably submits to the exclusive jurisdiction of the Courts of Germany with regard to any claim or controversy under this Application Form, Prospectus, or investment in the Notes.

Location and date:

Noteholder's name	Signature
Noteholder's name	Signature

# Annex 1

## VanEck Privacy Policy

### *Our Commitment to You*

VanEck is strongly committed to safeguarding the privacy and confidentiality of the Personal Data you have entrusted to us. This Online and Customer Privacy Notice applies to the operations of Van Eck Associates Corporation, its subsidiaries and funds sponsored or managed by VanEck (together “**VanEck**”, “**we**” or “**us**”). It covers VanEck's processing of Personal Data relating to visitors of our website, our customers, shareholders, suppliers and other individuals, who are not our employees.

Our websites may contain links to other third-party websites. If you follow a link to any of those third-party websites, please note that they have their own privacy policies and that we do not accept any responsibility or liability for their policies or processing of your Personal Information. Please check these policies before you submit any Personal Data to such third-party websites.

This privacy policy outlines our commitment to you.

### **1. Accountability**

We have strict policies and procedures governing how we deal with your [Personal Data](#). Each of our employees is responsible for respecting and protecting the Personal Data to which the employee has access.

Our management oversees privacy governance including policy, dispute resolution, education, communications activities and reporting to our Board of Directors on privacy matters. Please see [Contact](#) for more information.

### **2. Personal Data that we collect**

We only collect the [Personal Data](#) that we determine to be required for the purposes set out in section 3 below:

We collect:

- **Information you provide to us:** Personal Data that you provide to us, such as when using the contact form on our websites, including your name, email address, and other contact details;
- **Our correspondence:** if you contact us, we will typically keep a record of that correspondence;
- **Marketing preference information:** details of your marketing preferences (e.g. communication preferences) and information relevant to selecting appropriate products and services to offer you;
- **Device Information:** such as information about your operating system, browser, software applications, IP address, geolocation, security status and other device information in order to improve your experience, to protect against fraud and manage risk;
- **Website and communication usage:** details of your visits to the websites and information collected through cookies and other tracking technologies including your IP address and domain name, your browser version and operating system, traffic data, location data, web logs and other communication data, and the resources that you access;
- **Email tracking information:** VanEck or our service providers may also use cookies, web server logs, web beacons, or other electronic tools to collect and compile statistical and other non-personal information about your use of the websites and the services provided on the websites. Additional information about VanEck's use of cookies can be found under the heading [Collection of Information Using Cookies and Other Tools](#). Our emails may contain a single, campaign-unique "web beacon pixel" to tell us whether our emails are opened and combined with other technology verify any clicks through to links within the email. We may use this information for

purposes including determining which of our emails are more interesting to you and to query whether users who do not open our emails wish to continue receiving them. The pixel will be deleted when you delete the email. If you do not wish the pixel to be downloaded to your device, you should unsubscribe from the receipt of our emails. This information may include Personal Information;

- **Call recordings:** we may monitor or record our incoming or outgoing telephone calls with you to ensure accuracy, security, service quality, for training purposes and to establish a record of our communications. If you do not wish to have your call recorded, you have other options to conduct business with us such as online, or by contacting us in writing;
- **Anti-Crime and Fraud Information:** information relating to your financial situation, your creditworthiness or any criminal or fraudulent activities provided to us by you or third parties including information which establishes your identity, such as passports and or other official documents; information about transactions, credit ratings from credit reference agencies or information pooling groups; fraud, offences, suspicious transactions, politically exposed person and sanctions lists where your details are included;
- **Your transactions and holdings:** details of transactions with us or holdings with us that you have made or initiated; and
- **Survey information:** we may also ask you to complete surveys that we use for research purposes. In such circumstances we shall collect the information provided in the completed survey.

VanEck's websites are not directed at children under the age of 13, and VanEck does not knowingly collect personal data from any child under the age of 13. If we learn that we have collected or received personal data from a child under 13 without verification of parental consent, we will delete that information. Please instruct us accordingly.

### 3. Purposes for which we use your Personal Data

When we collect your [Personal Data](#), we may use or disclose it for the following purposes. Below each purpose we note the "legal ground" that allows such use of your Personal Information. An explanation of the scope of the "legal grounds" can be found in [Annex B](#) hereto.

- **To communicate with you regarding products and services that may be of interest:** to provide your company and you with updates and offers, where you have chosen to receive these. We may also use your information for marketing our own and our selected business partners' products and services to you by post, email, phone, SMS or online or social media advertisement. Where required by law, we will ask for your consent at the time we collect your data to conduct any of these types of marketing. We will provide an option to unsubscribe or opt-out of further communication on any electronic marketing communication sent to you or you may opt out by visiting [Contact](#).

***Use justifications:** consent, legitimate interests (to keep you updated with news in relation to our products and services)*

- **To communicate effectively with you and conduct our business:** to conduct our business, including to respond to your queries, to otherwise communicate with you, or to carry out our obligations arising from any agreements entered into between you or your company and us.

***Use justifications:** contract performance, legitimate interests (to enable us to perform our obligations and provide our services to you)*

- **To understand our customers and to develop and tailor our products and services:** we or third-party service providers (e.g., Google Analytics) on our behalf may analyze the Personal Data we hold in order to better understand our clients' services and marketing requirements, to better understand our business and develop our products and services. In order to ensure that content from our website is presented in the most effective manner for you and for your device, we may pass your data to business partners, suppliers and/or service providers.

***Use justifications:** legitimate interests (to ensure the quality and legality of our services, to allow us to improve our services and to allow us to provide you with the content and services on the website)*

- **To provide and manage products and services:** to administer our services, including to carry out our obligations arising from any agreements entered into between you or your company and us, or to notify you about changes to our services and products.

*Use justifications: contract performance, consent, legitimate interests (to enable us to perform our obligations and provide our services to you or to notify you about changes to our service)*

- **To verify your identity, protect against fraud and manage risk:** we and other organizations may access and use certain information to prevent fraud, money laundering and terrorism as may be required by applicable law and regulation and best practice at any given time, including checking against sanctions, politically exposed persons (PEP) and other fraud or crime screening databases. If false or inaccurate information is provided and fraud is identified or suspected, details may be passed to fraud prevention agencies and may be recorded by us or by them.

*Use justifications: legal obligations, legal claims, legitimate interests (to prevent crimes and protect our business)*

- **To comply with legal or regulatory requirements, or as otherwise permitted by law:** we may process your Personal Data to comply with our regulatory requirements or dialogue with our regulators or defend or prosecute claims as applicable which may include disclosing your Personal Data to third parties, the court service and/or regulators or law enforcement agencies in connection with enquiries, proceedings or investigations by such parties anywhere in the world. Where permitted, we will direct any such request to you or notify you before responding unless to do so would prejudice the prevention or detection of a crime.

*Use justifications: legal obligations, legal claims, legitimate interests (to cooperate with law enforcement and regulatory authorities)*

- **To inform you of changes:** to notify you about changes to our services and products.

*Use justification: legitimate interests (to notify you about changes to our service)*

- **To monitor certain activities:** to monitor queries and transactions to ensure service quality, compliance with procedures and to combat fraud.

*Use justifications: legal obligations, legal claims, legitimate interests (to ensure that the quality and legality of our services)*

- **To reorganize or make changes to our business:** in the event that we (i) are subject to negotiations for the sale of our business or part thereof to a third party, (ii) are sold to a third party or (iii) undergo a reorganization, we may need to transfer some or all of your Personal Data to the relevant third party (or its advisors) as part of any due diligence process for the purpose of analyzing any proposed sale or reorganization, certainly limited to what is required for this purpose and only to the extent allowed under applicable laws. We may also need to transfer your Personal Data to that reorganized entity or third party after the sale or reorganization for them to use for the same purposes as set out in this policy.

*Use justifications: legitimate interests (in order to allow us to change our business)*

#### 4. Consent and Your Choices

Most of our processing is permitted by "legal grounds" other than consent (see section 2 above). In relation to [Direct Marketing](#), where we are required to do so, we will obtain your consent before using your Personal Data for this purpose. If you prefer not to receive our [Direct Marketing](#) communications, you can have your name deleted from our [Direct Marketing](#) and/or shared information lists. If you want to change your privacy preferences, please see [Contact](#).

## 5. Sharing your Personal Data (and transfers outside your country)

We will only use or disclose your [Personal Data](#) for the purpose(s) it was collected and as otherwise identified in this Privacy Policy.

- **Sharing within the VanEck group:** We may share your [Personal Data](#) within the VanEck group, including locations outside of the European Economic Area where we do business, for marketing purposes, for legal and regulatory purposes, to manage credit risk and other business risks, to ensure we have correct or up to date information about you and to better manage your relationship with us.
- **Sharing outside the VanEck group:** [Personal Data](#) may be provided to third parties, including anti-fraud organizations, legal, regulatory or law enforcement authorities in cases of suspected criminal activity or contravention of law, for the detection and prevention of fraud, or when required to satisfy the legal or regulatory requirements of governments, regulatory authorities or other self-regulatory organizations, or to comply with a court order or for the protection of our assets (for example, collection of overdue accounts).
- **Business sale or reorganization:** Over time, we may buy new businesses or sell some of our businesses. Accordingly, [Personal Data](#) associated with any accounts, products or services of the business being purchased or sold will be reviewed as part of the due diligence process and subsequently transferred as a business asset to the new business owner. We may also transfer [Personal Data](#) as part of a corporate reorganization or other change in corporate control.
- **Sub-contractors and agents:** We may use affiliates or other companies to provide services on our behalf such as data processing, account administration, fraud prevention and detection and marketing. Such companies will be given only the [Personal Data](#) needed to perform those services and we do not authorize them to use or disclose [Personal Data](#) for their own marketing or other purposes. We have contracts in place holding these companies to standards of confidentiality equivalent to ours.
- **Transfers of personal data:** Your Personal Data may be accessed by staff, suppliers or other persons in, transferred to, and/or stored at, a destination outside your country, including outside the European Economic Area (EEA) in which data protection laws may be of a lower standard than in your country/the EEA. We will, in all circumstances, safeguard personal information as set out in this Privacy Policy.

Where we transfer personal information from inside the EEA to outside the EEA, we may be required to take specific additional measures to safeguard the relevant personal information. In accordance with applicable law to ensure an adequate level of protection, we ensure that the transfer outside the EEA is only done after implementing one of the following safeguards:

- The country to which we transfer your personal data is approved by the European Commission as providing essentially equivalent protections to EEA data protection laws and therefore no additional safeguards are required to export personal information to these jurisdictions; or
- We will establish legal grounds justifying such transfer, such as EU Commission-approved model contractual clauses, or other legal grounds permitted by applicable legal requirements. Please Contact Us if you would like to see a copy of the specific safeguards applied to the export of your Personal Information.

VanEck uses Google Analytics. As Google may have servers based outside the European Economic Area (EEA) VanEck may transfer your personal data to Google in the United States, in which data protection laws are of a lower standard than in your country/the EEA. We will, in all circumstances, safeguard personal information as set out in this Privacy Policy.

Please [Contact](#) us if you would like to see a copy of the specific safeguards applied to the export of your Personal Information.

## 6. Retention of Personal Data



Our retention periods for personal data are based on business needs and legal requirements. We retain your [Personal Data](#) for as long as is necessary for the processing purpose(s) for which the information was collected, and any other permissible, related purpose. For example, we may retain business emails preparing a commercial transaction according to statutory retention periods or where required for the purpose under best practices applying to this business correspondence, as applicable from jurisdiction to jurisdiction. Also in other cases, we retain Personal Data to comply with regulatory requirements regarding the retention of such data. When [Personal Data](#) is no longer needed, our policy is to either irreversibly anonymize the data (and we may further retain and use the anonymized information) or securely destroy the data. For Google Analytics in particular, the retention period is 26 months.

## 7. Accuracy and security of your Personal Data

We are committed to maintaining the accuracy of your [Personal Data](#) and ensuring that it is complete and up-to-date. If you discover inaccuracies in our records, or your [Personal Data](#) changes, please notify us immediately so that we can make the necessary changes. Failure to notify us of changes to your [Personal Data](#) may negatively impact the way we communicate or provide services to you. Where appropriate, we will advise others of any material amendments to your [Personal Data](#) that we may have released to them. If we do not agree to make the amendments that you request, you may challenge our decision as described in [Contact](#).

### *Safeguarding your Personal Information*

We use physical, electronic and procedural safeguards to protect against unauthorized use, access, modification, destruction, disclosure, loss or theft of your [Personal Data](#) in our custody or control.

We have agreements and controls in place with third party service providers requiring that any information we provide to them must be safeguarded and used only for the purpose of providing the service we have requested the company to perform.

### *Security over the internet*

No data transmission over the Internet or website can be guaranteed to be secure from intrusion. However, we maintain commercially reasonable physical, electronic and procedural safeguards to protect your personal information in accordance with data protection legislative requirements.

All information you provide to us is stored on our or our subcontractors' secure servers and accessed and used subject to our security policies and standards. Where we have given you (or where you have chosen) a password which enables you to access certain parts of our websites, you are responsible for keeping this password confidential and for complying with any other security procedures that we notify you of.

## 8. Changes to this Privacy Policy

From time to time, we may make changes to this Privacy Policy without prior notice to you. We will note the date of the most recent revision of this Privacy Policy at the bottom of the page.

Please see [Contact](#) to answer any questions you may have about our Privacy Policy.

## 9. Your Rights

If you have any questions in relation to our use of your personal information, you should first contact us as per the [Contact](#) section below. If you reside in the EEA or your data is processed by one of our European affiliates, under certain conditions (in particular where VanEck has directed the use of your Personal Data from one of its European subsidiaries), you may have the right to require us to:

- provide you with further details on the use we make of your information;

- provide you with a copy of information that you have provided to us;
- update any inaccuracies in the personal information we hold (please see paragraph 7);
- delete any personal information that we no longer have a lawful ground to use or retain;
- where processing is based on consent, to withdraw your consent so that we stop that particular processing (see paragraph 4 for marketing);
- object to any processing based on the legitimate interests ground unless our reasons for undertaking that processing outweigh any prejudice to your data protection rights; and
- restrict how we use your information whilst a complaint is being investigated.

Your exercise of these rights is subject to certain exemptions to safeguard the public interest (e.g., the prevention or detection of crime) and our interests (e.g., the maintenance of legal privilege). If you exercise any of these rights, we will check your entitlement and respond in most cases within a month.

If you are not satisfied with our use of your personal information or our response to any exercise of these rights you have the right to lodge a complaint with your national Data Protection Authority (DPA), e.g., the DPA in the country you live or work. We would, however, appreciate the chance to deal with your concerns before you approach the data protection regulator, so please contact us in the first instance. We aim to respond to your request without undue delay and at the latest within one month after receiving such a request. However, this one-month term may be extended with two months. In such event, we will inform you within one month after receipt of your request and explain why the extension is necessary.

## 10. Collection of Information Using Cookies and Other Tools

### *General*

VanEck or our service providers may use "cookies," web server logs, web beacons, or other electronic tools to collect information that is related to you but that does not personally identify you, such as:

- IP address;
- browser type;
- operating system;
- computer platform;
- information about your mobile device;
- geo-location data; and
- the state or country from which you accessed the Sites.

VanEck or our service providers may also use cookies, web server logs, web beacons, or other electronic tools to collect and compile statistical and other non-personal information about your use of the Sites and the services provided on the Sites, such as:

- the web pages you visit on the Sites;
- the date and time of your visit;
- the number of links you click within the Sites;
- the functions you use on the Sites;
- the databases you view and the searches you request on the Sites;
- the data you save on, or download from, the Sites, etc.; and
- the websites you visited immediately before and after visiting the Sites.

A cookie is a small text file placed on your computer. Most browsers are set to accept cookies by default. If you prefer, you can usually set your browser to disable cookies, or to alert you when cookies are being sent. Likewise, most mobile devices allow you to disable the ability for geo-location information to be collected from your mobile device. The help function on most browsers and mobile devices contains instructions on how to set your browser to notify you before accepting cookies, disable cookies entirely, or disable the collection of geo-location data. You need to set each browser, on each device you use to surf the Web. Thus, if you use multiple browsers (e.g., AOL, Safari, Internet Explorer, Firefox, etc.), you should repeat this procedure with each one. Similarly, if you connect to the Web from multiple devices (e.g., work and home), you need to set each browser on each device. However, if you disable cookies or refuse to accept a request to

place a cookie, it is possible that some parts of the Sites, such as certain areas of the Sites for which you need to log in, will not function properly, and the advertising you receive when you visit the Sites may not be advertisements tailored to your interests.

VanEck reserves the right to place advertising on the Sites. When such advertising is placed on the Sites, VanEck, our service providers, or third-party advertisers or their advertising servers may also place or recognize unique cookies on your computer or use other electronic tools in order to help display advertisements that you may see on the Sites or on other websites. Information about your visits to, and activity on, the Sites and other websites, an IP address, the number of times you have viewed an advertisement, and other such usage information may be used, alone or in combination with other information, to display on your device screen advertisements that may be of particular interest to you. We may use Web beacons, provided by third-party advertising companies, to help manage and optimize our online advertising and product performance. Web beacons enable us to recognize a browser's cookie when a browser visits the Sites, and to learn which banner ads bring users to the Sites. The use and collection of your information by these third-party service providers, and by third-party advertisers and their advertising servers, is not covered by this Online Privacy Policy.

### *Google Analytics*

In addition to the cookies which collect non-personal information about your use of our website and the Services provided within, we may set Google Analytics cookies (a web analysis service of Google Ireland Limited) if you use the website. The information generated includes information about your use of our website (including your IP address), which will be transmitted to and stored by Google on servers in the United States. As we have activated the IP anonymization, Google will anonymize the last octet of your IP address within Google Analytics.

On behalf of us, Google will use this information for the purpose of evaluating your use of the website, compiling reports on activity for website operators and providing other services relating to internet usage. Google will not associate your IP address with any other data held by Google.

We will obtain your consent before we place the Google Analytics cookies on your device. If you have consented to the use of these cookies, you can always refuse or delete these cookies by selecting the appropriate settings on your browser. However, please note that if you do this, you may not be able to use the full functionality of our website. Furthermore, you can prevent Google's collection and use of data (cookies and IP address) by downloading and installing the browser plug-in available under <https://tools.google.com/dlpage/gaoptout?hl=en>.

VanEck does not process or respond to web browsers' "do not track" signals or other similar transmissions that indicate a request to disable online tracking of users who visit the Sites. For information about online behavioral advertising networks and how to "opt out" of advertising cookies placed by the Network Advertising Initiative's ("NAI") member networks, and thereby limit the tracking of your online activity and the targeted advertising you may receive, please [click here](#). Note that even if you opt out of cookies placed by the NAI member networks, you may still receive online advertising. You can also prevent website tracking by changing the settings in your web browser settings as described above to disable cookies permanently or to alert you when cookies are being sent so you can refuse them at that time.

## **11. Contact Us**

If you have any questions or concerns about our privacy practices or the privacy of your Personal Information, please let us know.

To unsubscribe from marketing material we send you, please follow the instructions at the bottom of the email you have received. Alternatively, you may:

- Email us at [privacy@vaneck.com](mailto:privacy@vaneck.com); or
- Contact your local VanEck subsidiary in writing.

If after contacting us you do not feel that we have adequately addressed your concerns, you may lodge a complaint with your national Data Protection Authority (DPA), e.g., the DPA in the country you live or work.

Notwithstanding the above, nothing on The Sites should be construed as a solicitation or offer or recommendation to buy or sell any security or to make any transaction.

Last Updated: June 2022

## ANNEX A: Definitions

**Direct Marketing** is our communication with you such as mail, telemarketing or email, using your contact information, to inform you about products and services that we think may be of interest and value to you. This does not include communications regarding products or services that you currently have, including improved ways to use the products, or additional features of the products as well as transactional information.

**Personal Data** is information about an identifiable individual. It includes information that you have provided to us or was collected by us from other sources. It may include details such as your name and address, age and gender, personal financial records, identification numbers and personal references, to the extent permitted by local laws.

**VanEck** means Van Eck Associates Corporation, its subsidiaries and funds sponsored or managed by VanEck.

**The Sites** means [www.vaneck.com](http://www.vaneck.com).

## ANNEX B: Table of Legal Bases

Use of personal information under EU data protection laws must be justified under one of a number of legal "grounds" and we are required to set out the grounds in respect of each use in this policy. An explanation of the scope of the grounds available is set out below. We note the grounds we use to justify each use of your information next to the use in the "Uses of your personal information" section of this policy.

*These are the principal legal grounds that justify our use of your information:*

**Consent:** where you have consented to our use of your information (you may withdraw your consent by following the instructions at the bottom of the email received or contacting [privacy@vaneck.com](mailto:privacy@vaneck.com)).

**Contract performance:** where your information is necessary to enter into or perform our contract with you.

**Legal obligation:** where we need to use your information to comply with our legal obligations.

**Legitimate interests:** where we use your information to achieve a legitimate interest and our reasons for using it outweigh any prejudice to your data protection rights.

**Legal claims:** where your information is necessary for us to defend, prosecute or make a claim against you, us or a third party.

**Substantial Public Interest;** where we use Personal Data relating to criminal convictions or political affiliations for a purpose that is expressly in the substantial public interest, including for the prevention or detection of crime, particularly money laundering, or fraud.

## Annex 2

### Crypto Custodian

### Wallet Owner Confirmation

This Form is intended to allow VanEck ETP AG to clear a transaction from an KYC and AML perspective whereby Crypto Assets will be send to the Wallet of the Wallet Owner.

Name of Crypto Custodian \_\_\_\_\_

Name and Country of Regulator \_\_\_\_\_

Address of Crypto Custodian \_\_\_\_\_

Email Address of Crypto Custodian \_\_\_\_\_

Details of Wallet Owner:

Title							
Last name/Family name							
First name(s)/Given name(s)							
Gender	<input type="checkbox"/> M			<input type="checkbox"/> F			
Date of birth	d	d	m	m	y	y	y
Place of birth (city & country)							
Nationality/nationalities							
Passport/National ID number							
Country of residence for tax purposes							
Address, city & country (no PO Box allowed)							
Telephone							
Fax							
Email							

<b>Name of the Network:</b>	
<b>Name of the chain:</b>	
<b>Noteholder's Crypto Custodian Wallet Address (public key and memo if applicable):</b>	

We confirm that we are a crypto custodian regulated for anti-money laundering purposes by the aforementioned regulator and hereby confirm the following in connection to the Wallet Owner:

1. We have performed the anti-money laundering and counter-terrorist financing identification for the Wallet Owner and the above wallet.
2. The evidence we have obtained to verify the identity of the underlying Wallet Owner, and where appropriate, their beneficial shareholders, meets the requirements of our national anti-money laundering and counter-terrorist financing legislation and regulations.
3. We confirm that all documents and information, which we may have on our files relating to the identity of each underlying Wallet Owner will be sent to a competent authority as soon as practicable upon the competent authority's request.
4. We confirm that all documents and information, which we may have on our files relating to the identity of each underlying Wallet Owner will be sent to the Issuer as soon as practicable upon its request, subject to applicable rules and regulations.
5. We will retain these documents and information for a period of at least 5 years after the relationship with a Wallet Owner has ended.
6. We will take measures to ensure that the underlying Wallet Owner are neither individuals nor institutions against whom sanctions have been imposed by the EU or United Nations or persons or entities that are included on the List of Specially Designated Nationals and Blocked Persons maintained by the U.S. Treasury's Department's Office of Foreign Asset Control ("OFAC").
7. We will send together with this Form the following documents:
  - *an original self-certified authorized signatories list with signature specimen stating who will operate the account, current and dated on company letterhead, not more than one year old; and*
  - *a proof of regulation by a competent national supervisory authority.*

Date and Place: \_\_\_\_\_

\_\_\_\_\_  
 Authorised Signatory of Crypto Custodian

\_\_\_\_\_  
 Name and Title

This should be signed by one or more authorised members of the Compliance Department or Legal Department (please state position).