

## KEY INVESTOR INFORMATION

This document provides you with key investor information about this Fund. It is not marketing material. The information is required by law to help you understand the nature and the risks of investing in this Fund. You are advised to read it so you can make an informed decision about whether to invest.



## VanEck Smart Home Active UCITS ETF (the "Fund")

a sub-fund of VanEck UCITS ETFs plc (the "Company")

Share Class: USD A ISIN: IE000FN81QD2

This Fund is managed by VanEck Asset Management B.V., a subsidiary of Van Eck Associates Corporation.

## Objectives and Investment Policy

The Company has appointed Dasym Managed Accounts B.V. as investment advisor for the Fund with a discretionary mandate.

The investment objective of the Fund is to seek long-term capital appreciation by investing primarily in equity securities of companies which are active in, maintain assets in, or derive revenues from smart homes and intelligent building solutions. The Fund is actively managed and in order to achieve its investment objective, the Fund will invest, in a manner consistent with the UCITS Regulations and the Central Bank requirements, into a global portfolio of equity or equity-related securities of companies listed or traded on a Regulated Market set out in Appendix 2 of the Prospectus.

The Fund's investment policy will not be subject to any geographical restrictions. The equity securities will primarily be common stocks and other equity-related securities such as preferred stocks, rights and convertible preferred stocks listed or traded on the Regulated Markets referred to in Appendix 2 of the Prospectus. The Fund may also invest in global depositary receipts (GDR) and American depositary receipts (ADR). The Fund may invest more than 20% of net assets in emerging markets.

The Fund may also invest indirectly in equity securities through holdings in other UCITS. Such UCITS, which meet the conditions laid down by the Central Bank, will adhere to similar investment restrictions as those applying to the Fund and have investment objectives consistent with the Fund's investment objective. The Fund may hold ancillary liquid assets in money market instruments, being commercial papers or non-interest-bearing certificates of deposits or deposits with one or more financial institutions.

Regarding the Investment Process: the Investment Advisor selects companies with high exposure to previously mentioned investment domains through a

proprietary quantitative screening process and rigorous fundamental bottom-up analysis. The investment process is 'bottom-up' in that a company is analysed with greatest emphasis on companies which are active in, maintain assets in, or derive revenues from activities in respect of smart homes and intelligent building solutions and the ability to generate returns for shareholders over the long-term. The Investment Advisor's quantitative screening process assesses individual metrics to determine the target investments.

The Manager has categorised the Fund as meeting the provisions set out in Article 8 of Regulation (EU) 2019/2088 on sustainability related disclosures in the financial services sector ("SFDR") for products, which promote environmental and/or social characteristics and invest in companies that follow good governance practices. The Fund does not have sustainable investment as its investment objective.

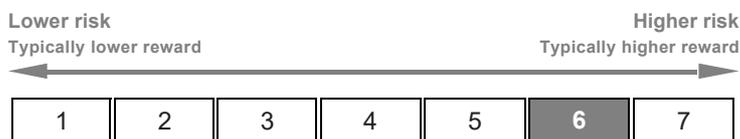
Due to the smart home and intelligent building solutions focus of the Fund, the growth rate for the Fund's target investments will be higher than average growth rates in the broader market. Operating margins, cash flow conversion and capital expenditure as a percentage of sales will vary per company and investment domain. However, a balanced allocation towards different investment domains should not result in excessive differences with the broader market.

Furthermore, the Fund may not invest less than 51% of its Net Asset Value in equity securities which constitute "equity participation" within the meaning of section 2, Article 8 of the German Investment Tax Act.

Recommendation: This Fund may not be appropriate for investors who plan to withdraw their money within 5 years.

- Fund's base currency: U.S. Dollar
- Distribution policy: Income accumulated

## Risk and Reward Profile



The risk and reward indicator is calculated using historical and simulated historical data. Historical data may not be a reliable indication for the future. Therefore, the risk classification may change over time.

Even if the Fund is in the lowest risk category, it does not mean it is risk free or that capital is guaranteed or protected.

This Fund is ranked at 6 because funds of this type have experienced very high rises and falls in value in the past.

The following risks can be materially relevant but are not necessarily adequately captured by the synthetic risk indicator and may cause additional loss:

- Equity Market Risk: The prices of the securities in the Fund are subject to

the risks associated with investing in the securities market, including general economic conditions and sudden and unpredictable drops in value. An investment in the Fund may lose money.

- Industry or Sector Concentration Risk: The Fund's assets may be concentrated in one or more particular sectors or industries. The Fund may be subject to the risk that economic, political or other conditions that have a negative effect on the relevant sectors or industries will negatively impact the Fund's performance to a greater extent than if the Fund's assets were invested in a wider variety of sectors or industries.
- Limited Diversification Risk: The Fund may invest a relatively high percentage of its assets in a smaller number of issuers or may invest a larger proportion of its assets in a single issuer. As a result, the gains and losses on a single investment may have a greater impact on the Fund's Net Asset Value and may make the Fund more volatile than more diversified funds.

For more information on risks, please see the "Risk Factors" section of the Fund's prospectus, available on [www.vaneck.com](http://www.vaneck.com).

## Charges

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The charges you pay are used to pay the costs of running the Fund, including the costs of marketing and distributing it. These charges reduce the potential growth of your investment.

### One-off charges taken before or after you invest

|              |       |
|--------------|-------|
| Entry charge | None* |
| Exit charge  | None* |

These are the maximum charges that could be taken from your money before it is invested or before we pay out the sale proceeds of your investment.

### Charges taken from the Fund over a year

|                 |       |
|-----------------|-------|
| Ongoing charges | 0.85% |
|-----------------|-------|

### Charges taken from the Fund under certain specific conditions

|                 |      |
|-----------------|------|
| Performance fee | None |
|-----------------|------|

\*Not applicable to investors on the secondary market. Investors who buy or sell shares via a market pay the costs charged by their financial intermediaries. Information on these charges can be obtained from the markets where the shares are listed and traded or from the financial intermediaries.

You can find more information on the charges in the cost section of the Fund's prospectus and/or supplement. This is available at [www.vaneck.com](http://www.vaneck.com).

## Past Performance

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There is insufficient data to provide a useful indication of past performance to investors. The Fund was launched on 05 November 2021.

## Practical Information

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The Depositary of the Fund is State Street Custodial Services (Ireland) Limited. Further information about the Company and the Fund including the prospectus and most recent annual reports and semi-annual reports is available free of charge online at [www.vaneck.com](http://www.vaneck.com) or on request at the registered office of the company. These documents are available in English and certain other languages. The Fund is a sub-fund of the Company, an umbrella fund structure comprising different sub-funds. This document is specific to the Fund stated at the beginning of this document. However, the prospectus, annual and semi-annual reports are prepared for the Company rather than separately for the Fund. The net asset value and other information is available online at [www.vaneck.com](http://www.vaneck.com). Investors can buy or sell shares daily on stock exchange(s) on which the shares are traded. The Fund shares are traded on one or more stock exchanges. The details of the remuneration policy of the management company, VanEck Asset Management B.V., including, but not limited to, a description of how remuneration benefits are calculated, the identities of persons responsible

for awarding the remuneration and benefits including the composition of the remuneration committee, where applicable, may be obtained from the website [www.vaneck.com](http://www.vaneck.com) and a paper copy is available, free of charge and upon request, at the registered office of the management company. The Company is subject to the tax laws and regulations of Ireland. Depending on your own country of residence, this may have an impact on your investment. Please consult your investment or tax adviser for advice on your own tax liabilities. VanEck Asset Management B.V. may be held liable solely on the basis of any statement contained in this document that is misleading, inaccurate or inconsistent with the relevant parts of the prospectus of the Fund. Under Irish law, the Company has segregated liability between its sub-funds. The Fund's assets will not be used to discharge the liabilities of other sub-funds of the Company. In addition, the Fund's assets and liabilities are segregated and held separately from the assets of other sub-funds.

The Fund is authorized in Ireland and regulated by the Central Bank of Ireland (CBI). VanEck Asset Management B.V. is authorized in the Netherlands and regulated by the Dutch Authority for the Financial Markets (AFM). The key investor information is accurate as at 16 February 2022.